



**7 Data as referred to in Sections 26(i), under g and 26(i), under h, FSA Decree on Market Access of Financial Corporations**

- a Completed and signed Prospective appointment notification forms and Integrity test forms each of the persons who determine or co-determine the policy of the insurer, (i.e. managing directors and other policy makers), to the extent applicable together with completed and signed Integrity test forms with the requested enclosures

Names of persons (last name, initial(s)): .....

Number of Prospective appointment notification forms included: .....

Number of Integrity test forms included: .....

attached as appendix C1

- b Completed and signed Prospective appointment notification forms of each of the persons who form part of a body within the insurer that is entrusted with the supervision of the policies and general course of events of the insurer (such as supervisory directors), to the extent applicable together with completed and signed Integrity test forms with the requested enclosures \*

Names of persons (last name, initial(s)): .....

Number of Prospective appointment notification forms included: .....

Number of Integrity test forms included: .....

attached as appendix C2

**8 Data as referred to in Section 26(i), under i, FSA Decree on Market Access of Financial Corporations**

A description of the proposed policies to secure sound operations, as referred to in Section 3:10.1, FSA.

attached as appendix D

**9 Data as referred to in Section 26(i), under j, FSA Decree on Market Access of Financial Corporations**

A description of the participation structure allowing assessment of compliance with Section 3:16, FSA

attached as appendix E

**10 Data as referred to in Section 26(i), under k, FSA Decree on Market Access of Financial Corporations**

A description of the organization of the operations to secure controlled and sound operations, as referred to in Section 3:17(1), FSA

attached as appendix F

\* The integrity test form is only applicable for persons who have not yet been tested for integrity  
You can download the integrity test forms and the prospective appointment notification form from our website ([www.dnb.nl](http://www.dnb.nl))

**11 Data as referred to in Section 26(1), under l, FSA Decree on Market Access of Financial Corporations**

Documents from which own funds, as referred to in Section 3:53, FSA, and the expected solvency, as referred to in Section 3:57, FSA, are apparent

*attached as appendix G*

If there is any qualifying holding also:

**12 Data as referred to in Section 26(1), under m, FSA Decree on Market Access of Financial Corporations**

- a A statement of the size of the qualifying holding.
- b Data allowing assessment of compliance with the provisions contained in Section 3:99, FSA, regarding the integrity of the applicant or the holder of a declaration of no-objection who might determine or co-determine the policies of the undertaking concerned based on the qualifying holding.
- c Documents demonstrating the financial position and the legal group structure of the applicant or the holder of a declaration of no-objection.

*attached as appendix H*

or  
the 'Application form for a declaration of no-objection in respect of a qualifying holding in a bank, management company of an undertaking for collective investment in transferable securities, collective investment scheme or insurer with its registered office in the Netherlands' is being sent separately.

*attached as appendix I*

or  
*not applicable*

**13 Signature authorised representative(s)**

The undersigned declare(s) to have taken note of the aforementioned information about the processing of personal data in conformity with the provisions of the Wbp and the obligation to notify any changes in the answers to the questions asked.

The undersigned declare(s) to have filled in this questionnaire and the appendix(-ices) fully and truthfully.

Date

Place

Name and position

Signature

Date

Place

Name and position

Signature

Please send the completed and signed form, with any appendices, to:  
De Nederlandsche Bank, Expertisecentrum Markttoetreding, Postbus 98, 1000 AB Amsterdam.  
If you have any questions, please contact DNB's Information Desk on 0800 - 020 10 68  
or send an e-mail to info@dnb.nl.  
From outside the Netherlands please call +31 20 524 91 11.