

De Nederlandsche Bank (DNB) will record the data supplied on the basis of this application form in a personal data processing system within the meaning of the Personal Data Protection Act (*Wet bescherming persoonsgegevens/Wbp*). The Dutch Data Protection Authority (*College Bescherming Persoonsgegevens*) has been notified of the processing of personal data. The notification form is available for inspection at DNB.

We always exercise due care in handling your data. We may exchange your data with third parties only if it is permitted by law, for example, with supervisors and criminal authorities in the Netherlands or abroad.

You must of your own volition and without delay inform DNB of any change in circumstances that would cause you to have answered the questions below differently.

Please indicate on the form which documents you are adding to it by ticking the boxes against the relevant questions.

1 Data as referred to in Section 10(1), under a, FSA Decree on Market Access of Financial Corporations

Name of bank

Address of bank

Postal address of bank

Telephone number

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Fax number

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2 Data as referred to in Section 10(1), under b, FSA Decree on Market Access of Financial Corporations

Legal form of bank

3 Data as referred to in Section 10(1), under c, FSA Decree on Market Access of Financial Corporations

Name of bank according to articles of association

Registered office of bank

Trading name(s) of bank

4 Data as referred to in Section 10(1), under d, FSA Decree on Market Access of Financial Corporations

Registration number in the Trade Register of the Chamber of Commerce

5 Data as referred to in Section 10(1), under e, FSA Decree on Market Access of Financial Corporations

Address of branch

Postal address of branch

Telephone number of branch

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Fax number of branch

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6 Data as referred to in Section 10(1), under f, FSA Decree on Market Access of Financial Corporations

A certified copy of the bank's articles of association*

attached as appendix A

7 Data as referred to in Section 10(1), under g, FSA Decree on Market Access of Financial Corporations

A programme of activities which the bank intends to carry out from the branch

attached as appendix B

8 Data as referred to in Sections 10(1), under h and 10(1), under i, FSA Decree on Market Access of Financial Corporations

- a Completed and signed Prospective appointment notification forms and Integrity test forms each of the persons who determine or co-determine the policy of the bank's branch (i.e. managing directors and other policy makers), to the extent applicable together with completed and signed Integrity test forms with the requested enclosures**

Names of persons (last name, initial(s)):

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.....
.....

Number of Prospective appointment notification forms included:

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Number of Integrity test forms included:

.....

attached as appendix C1

- b Completed and signed Prospective appointment notification forms of each of the persons who form part of a body within the bank's branch that is entrusted with the supervision of the policies and general course of events of the bank's branch (such as supervisory directors), to the extent applicable together with completed and signed Integrity test forms with the requested enclosures**

Names of persons (last name, initial(s)):

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Number of Prospective appointment notification forms included:

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Number of Integrity test forms included:

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attached as appendix C2

9 Data as referred to in Section 10(1), under j, FSA Decree on Market Access of Financial Corporations

A description of the proposed policy to secure sound operations from the branch, as referred to in Section 3:10(1 and 2), FSA

attached as appendix E

10 Data as referred to in Section 10(1), under k, FSA Decree on Market Access of Financial Corporations

A description of the organisation of the operations to secure controlled and sound operations of the branch, as referred to in Section 3:17 (1), FSA

attached as appendix F

* If the original is unavailable in Dutch or English, a certified translation in either of these languages must also be enclosed
** The integrity test form is only applicable for persons who have not yet been tested for integrity.
You can download the integrity test forms and the prospective appointment notification form from our website (www.dnb.nl)

11 Data as referred to in Section 10(1), under l, FSA Decree on Market Access of Financial Corporations

A description of the consolidated supervision

attached as appendix G

or
not applicable

12 Data as referred to in Section 10(1), under m, FSA Decree on Market Access of Financial Corporations

Documents showing the own funds of the bank, as referred to in Section 3:53 (1 and 3), FSA, and expected solvency of the bank, as referred to in Section 3:57 (1 and 2), FSA, and liquidity, as referred to in Section 3:63(1), FSA

attached as appendix H

13 Data as referred to in Section 11, under a, FSA Decree on Market Access of Financial Corporations

A description of the organisation of operations as referred to in Section 4:14, FSA

attached as appendix I

14 Data as referred to in Section 11, under b, FSA Decree on Market Access of Financial Corporations

A description of the measures as referred to in Section 4:87, FSA

attached as appendix J

15 Data as referred to in Section 11, under c, FSA Decree on Market Access of Financial Corporations

A description of the intended policy as referred to in Section 4:88, FSA

attached as appendix K

16 Administrative charges

Data of the person to whom the invoice concerning the handling of this application can be sent:

Name of contact person

Name of legal entity

Postal address

17 Signature of authorised representative(s)

The undersigned declare(s) to have taken note of the aforementioned information about the processing of personal data in conformity with the provisions of the Wbp and the obligation to notify any changes in the answers to the questions asked.

The undersigned declare(s) to have filled in this questionnaire and the appendix(-ices) fully and truthfully.

Date

Place

Name and position

Signature

Date

Place

Name and position

Signature

Please send the completed and signed form, with any appendices, to:

De Nederlandsche Bank, Expertisecentrum Markttoetreding, Postbus 98, 1000 AB Amsterdam.
If you have any questions, please contact DNB's Information Desk on 0800 - 020 10 68
or send an e-mail to info@dnb.nl.
From outside the Netherlands please call +31 20 524 91 11.