Conflicts of interest

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| **a)** | **Do you**4 **have any personal relationship with:**  **- other members of the management body and/or key function holders of the supervised entity, the parent undertaking or its subsidiaries?**  **- a person that has a qualifying holding in the supervised entity, the parent undertaking or its subsidiaries?** | ☐ YES  ☐ NO |
| If YES, please provide details below | | |
| **b)** | **Do you conduct business (in private or through a company) with the supervised entity, the parent undertaking or its subsidiaries?** | ☐ YES  ☐ NO |
| If YES, please provide the following information:  - a description of the type and content of the business and the obligations of both parties;  - if relevant, the name of the company;  - the relevant period of this relationship. | | |
| **c)** | **Are you currently involved in any legal proceedings against the supervised entity, the parent undertaking or its subsidiaries, either directly or indirectly?** | ☐ YES  ☐ NO |
| If YES, please provide information on the content and status of the legal proceedings and the entity involved | | |
| **d)** | **Do you have any professional**5 **or commercial relationship or have you had such relationship over the past 2 years with:**  **- the supervised entity, the parent undertaking or its subsidiaries?**  **- competitors of the supervised entity; the parent undertaking or its subsidiaries?** | ☐ YES  ☐ NO |
| If YES, please provide details below (where a commercial relationship exists, please provide information as to what (financial) value it represents to the business of the member or his/her close personal or business relationships) | | |

4 “You” throughout section 6 should be understood as “you personally”, but also includes your close relatives (spouse, registered partner, cohabitee, child, parent or other relation with whom you share living accommodation) and any legal person of which you are or were a board member at the relevant time.

5 Such as holding management or senior position(s).

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| **e) Do you, either personally or through a company you are closely connected with, have any substantial financial interest (such as ownership or investment) in the supervised entity, the parent undertaking or its subsidiaries, or in competitors or clients of the supervised entity, the parent undertaking or its subsidiaries? If yes, please provide the following information:**  **- name of the entity;**  **- main activities of the entity;**  **- relationship between the entity and the supervised entity;**  **- relevant period of this relationship;**  **- details of the financial interest.** | | | | | | |
|  | **Name of the entity** | **Main activities of entity** | **Relationship between the entity and the supervised entity** | **Relevant period** | **Size of the financial interest (% of the capital and voting rights, or value of investment)** | |
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| **f)** | **Do you, in any way, represent a shareholder of the supervised entity, the parent undertaking or its subsidiaries?** | | | | | ☐ YES  ☐ NO |
| If YES, please provide the following information:  1. name of the shareholder;  2. % participation (in % of the capital and voting rights);  3. nature of the representation. | | | | | | |
| **g)** | **Do you have any substantial financial obligation to the supervised entity, the parent undertaking or its subsidiaries (in principle, performing loans**  **negotiated at arm’s length under €100,000 and performing private mortgages negotiated at arm’s length would generally not be considered as substantial)?** | | | | | ☐ YES  ☐ NO |
| If YES, please provide the following information:  1. the type of obligation;  2. the value of the obligation;  3. relevant period of this obligation. | | | | | | |

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| **h)** | **Do you have or have you had over the past 2 years a position with high political influence (either nationally or locally)?** | ☐ YES  ☐ NO |
| If YES, please provide the following information:  1. the nature of the position;  2. the specific powers related to or the obligations of this position;  3. the relationship between this position (or the entity where this position is held) and the supervised entity, the parent undertaking or its subsidiaries. | | |
| **i)** | **Do you have any other relationships, positions or involvement that are not addressed in the questions above, which could adversely affect the interests of the supervised entity?** | ☐ YES  ☐ NO |
| If YES, please provide all necessary information (e.g. nature, content, period and, if relevant, the relation to/relationship with the supervised entity, the parent undertaking or its subsidiaries) | | |

To be completed by the supervised entity

**j) If any of the above apply, please indicate how a potential conflict of interest resulting from the appointee’s statements above, whether or not it is considered material, is proposed to be mitigated? Please include**